

Companies						
No.	Field/question	Pre-populated?	Mandatory?	Type	Possible options	Notes
<b>Company information</b>						
1	Company name	Y	Y	Name		
2	Registration number	Y	Y	Number		
3	Company classification	Y	Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Category 2 – Secondary GFSC licensees</li> <li>•Category 3 – IC</li> <li>•Category 3 – ICC</li> <li>•Category 3 – PCC</li> <li>•Category 4 – Charity</li> <li>•Category 4 – NPO</li> <li>•Category 5 – CSP administered companies (inc. RA and/or reg office)</li> <li>•Category 6 – Non licenced companies (not CSP administered)</li> <li>•Category 7 – GCRA regulated companies</li> </ul>	
4	Company status	Y	Y	Configurable pre-set options		
5	Company type	Y	Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Non-cellular company</li> <li>•Protected cell company</li> <li>•Incorporated cell company</li> <li>•Incorporated cell</li> <li>•Limited by shares</li> <li>•Limited by guarantee</li> <li>•Mixed liability</li> <li>•Unlimited</li> </ul>	
6	Liability type	Y	Y	Configurable pre-set options		
7	Company purpose	Y	Y	Free text		
8	Economic activity code and description	Y	Y	Economic activity list		
9	Registered office address	Y	Y	Address		
10	AGM waiver	Y	Y	Y/N		
11	Audit exempt - indefinite	Y	Y	Y/N		
12	Audit exempt - annual	Y	Y	Y/N		
13	Is the company licensed, authorised or registered by the GFSC?	N	Only for companies in Category 3 - IC, Category 3 - ICC or Category 3 - PCC	Y/N		
<b>Director and resident agent information</b>						
14	Director name	Y	Y	Name		For each currently appointed director
15	Director service address	Y	Y	Address		For each currently appointed director
16	Alternate director	Y	Y	Y/N		For each currently appointed director
17	Does director hold a fiduciary licence?	N	Only for directors that are individuals	Y/N		Pre-populated with the data already held but is editable on the AV form itself

18	Reason for not holding a fiduciary licence	N	Only for individual directors that answer N to Q17	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Director of company in the majority ownership of that director or his/her family</li> <li>•Director who is not resident in the Bailiwick of Guernsey</li> <li>•Director of company established to run a local business</li> <li>•Acting as director in capacity of his/her employment with a licenced Corporate Services Provider.</li> <li>•Director of a company supervised by the GFSC</li> <li>•Providing professional directorship services but for no more than 6 companies, being directorships which are not the subject of any other exemption under s.3 of the Fiduciaries Law</li> <li>•Director of company listed on a recognised stock exchange</li> <li>•Not acting by way of business (i.e. voluntary/charitable)</li> <li>•Director of a company which is a subsidiary of a company that meets one of the exemption criteria under s.3 of the Fiduciaries Law</li> </ul>	Pre-populated with the data already held but is editable on the AV form itself
18a	Has the director registered as a prescribed business with the Guernsey Financial Services Commission in accordance with Schedule 5 to the Criminal Justice (Proceeds of Crime) (Bailiwick of Guernsey) Law, 1999?	N	Only for individual directors that selected 'Providing professional directorship services but for no more than 6 companies, being directorships which are not the subject of any other exemption under s.3 of the Fiduciaries Law' in Q18	Y/N		
18b	Is the reason for not having registered with the GFSC as a prescribed business because the director is subject to an exemption contained in paragraphs 1(2) and 1(3) of Schedule 5 to the Proceeds of Crime Law?	N	Only for individual directors that answer N to Q18a	Y/N		
19	Resident agent name	Y	Y	Name		For each currently appointed resident agent. If company is resident agent exempt this field will not show up.
20	Resident agent address	Y	Y	Address		For each currently appointed resident agent. If company is resident agent exempt this field will not show up.
20a	Date resident agent became associated with the company	N	Y	Date		Date pre-populated with resident agent appointment date but is editable on the AV form itself (in case the resident agent was associated with the company prior to their appointment)
21	Has the resident agent ever served a notice to obtain information on the beneficial owners of the company?	N	Y (if there is a resident agent)	Y/N		If company is resident agent exempt this field will not show up.
22	Resident agent exempt	Y	Y	Y/N		
23	Resident agent exemption reason	Y	Only if Q22 is Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Company listed on a recognised stock exchange</li> <li>•Open-ended investment company (or any subsidiary of)</li> <li>•Closed-ended investment company (or any subsidiary of)</li> <li>•Supervised companies (within the meaning of section 530 of the Companies Law)</li> <li>•States trading companies (within the meaning of section 540 of the Companies Law)</li> </ul>	

**Trust/legal arrangement questions**

(only to be answered by companies in Category 3 (if the company also answered N to Q13), Category 5, Category 6, Category 7)

24	Is the company a settlor, trustee, protector or beneficiary of an existing express trust (or does it occupy an equivalent role in relation to another form of legal arrangement), or does it exercise control over an express trust/other legal arrangement through other means?	N	Y	Y/N		
24a	Which relationship(s) does the company have with the express trust/legal arrangement? (select all that apply)	N	Only if Q24 is Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Settlor</li> <li>•Trustee</li> <li>•Protector</li> <li>•Beneficiary</li> <li>•Control exercised through other means</li> <li>•Relationship is held with a legal arrangement that is not a trust</li> </ul>	The user can select multiple options
24b	Describe the relationship that the company has where the legal arrangement is something other than a trust	N	Only if Q24a is Relationship is held with a legal arrangement that is not a trust	Free text		
25	Is the trust/legal arrangement subject to Guernsey law?	N	Only if Q24 is Y	Y/N		
26	What is the governing law of the trust/legal arrangement?	N	Only if Q25 is N	Free text		
27	Is the beneficial ownership information in relation to the legal arrangement held by the company?	N	Only if Q24 is Y	Y/N		
<b>Holding company questions</b>						
<b>(only to be answered by companies in Category 3 (if the company also answered N to Q13), Category 5, Category 6, Category 7)</b>						
28	Does the company hold any assets?	N	Y	Y/N		
29	Does the company hold any real property?	N	Only if Q28 is Y	Y/N		
30	Is the real property residential or commercial?	N	Only if Q29 is Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Residential</li> <li>•Commercial</li> <li>•Residential and Commercial</li> </ul>	
31	Is the real property land or buildings?	N	Only if Q29 is Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Land</li> <li>•Buildings</li> <li>•Land and Buildings</li> </ul>	
32	Where is the real property located?	N	Only if Q29 is Y	ISO Country list plus Bailiwick Islands		Ability to select multiple countries
33	Does the company hold any other high value tangible assets (not including stocks and shares) with a value over £5,000,000	N	Only if Q28 is Y	Y/N		
34	What are the assets?	N	Only if Q33 is Y	Free text		
35	Where are the assets located?	N	Only if Q33 is Y	ISO Country list plus Bailiwick Islands		Ability to select multiple countries
<b>Commercial activity questions</b>						
<b>(only to be answered by companies in Category 3 (if the company also answered N to Q13), Category 5, Category 6, Category 7)</b>						
36	Does the company undertake any commercial activity?	N	Y	Y/N		
36a	What is the nature of the company's activities?	N	Only if Q36 is Y	Free text		

					<ul style="list-style-type: none"> <li>•Large public sector projects</li> <li>•Large non-public sector infrastructure and construction projects</li> <li>•Large non-public sector highly centralised and capital-intensive projects</li> <li>•Large non-public sector complex projects</li> <li>•Manufacturing</li> <li>•Dealing in art/antiques</li> <li>•Dealing in precious stones/metals</li> <li>•Intellectual property holding/management</li> <li>•Estate agency</li> <li>•The energy sector</li> <li>•The extraction sector</li> <li>•The telecommunications sector</li> <li>•The arms sector</li> <li>•The pharmaceuticals/life sciences sector</li> <li>•The chemical sector</li> <li>•The transport/shipping sector</li> <li>•The logistics/distribution sector</li> <li>•The business of invoicing or making payments</li> </ul>	
37	Is the company engaged in any of the below activities? Please select all that apply	N	Only if Q36 is Y	Configurable pre-set options		Ability to select multiple options (or none at all)
38	What is the location of the company's activities? Please select all that apply	N	Only if Q36 is Y	ISO Country list plus Bailiwick Islands		Ability to select multiple countries
<b>Subsidiary questions</b> <b>(only to be answered by companies in Category 3 (if the company also answered N to Q13), Category 5, Category 6, Category 7)</b>						
39	Does the company have any subsidiaries?	N	Y	Y/N		
40	Does the company have any subsidiaries outside Guernsey?	N	Only if Q39 is Y	Y/N		
41	Where are the subsidiaries registered?	N	Only if Q40 is Y	ISO Country list minus Guernsey		
42	Do any subsidiaries hold any assets?	N	Only if Q40 is Y	Y/N		
43	Do any subsidiaries hold any real property?	N	Only if Q42 is Y	Y/N		
44	Is the real property held by the subsidiaries residential or commercial?	N	Only if Q43 is Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Residential</li> <li>•Commercial</li> <li>•Residential and Commercial</li> </ul>	
45	Is the real property held by the subsidiaries land or buildings?	N	Only if Q43 is Y	Configurable pre-set options	<ul style="list-style-type: none"> <li>•Land</li> <li>•Buildings</li> <li>•Land and Buildings</li> </ul>	
46	Where is the real property held by the subsidiaries located?	N	Only if Q43 is Y	ISO Country list plus Bailiwick Islands		Ability to select multiple countries
47	Do any subsidiaries hold any other high value tangible assets (not including stocks and shares) with a value over £5,000,000	N	Only if Q40 is Y	Y/N		
48	What are the assets?	N	Only if Q47 is Y	Free text		
49	Where are the assets located?	N	Only if Q47 is Y	ISO Country list plus Bailiwick Islands		Ability to select multiple countries
50	Do any subsidiaries undertake any commercial activity?	N	Only if Q40 is Y	Y/N		
50a	What is the nature of the subsidiaries' activities?	N	Only if Q50 is Y	Free text		

					<ul style="list-style-type: none"> <li>•Large public sector projects</li> <li>•Large non-public sector infrastructure and construction projects</li> <li>•Large non-public sector highly centralised and capital-intensive projects</li> <li>•Large non-public sector complex projects</li> <li>•Manufacturing</li> <li>•Dealing in art/antiques</li> <li>•Dealing in precious stones/metals</li> <li>•Intellectual property holding/management</li> <li>•Estate agency</li> <li>•The energy sector</li> <li>•The extraction sector</li> <li>•The telecommunications sector</li> <li>•The arms sector</li> <li>•The pharmaceuticals/life sciences sector</li> <li>•The chemical sector</li> <li>•The transport/shipping sector</li> <li>•The logistics/distribution sector</li> <li>•The business of invoicing or making payments</li> </ul>	
51	Are any subsidiaries engaged in any of the below activities? Please select all that apply	N	Only if Q50 is Y	Configurable pre-set options		Ability to select multiple options (or none at all)
52	What is the location of the subsidiaries' activities? Please select all that apply	N	Only if Q50 is Y	ISO Country list plus Bailiwick Islands		
<b>Business relationships questions</b> (only to be answered by companies in Category 3 (if the company also answered N to Q13), Category 4, Category 5, Category 6, Category 7)						
53	Does the company hold a Bailiwick of Guernsey bank account(s)?	N	Y	Y/N		
54	Does the company use a Bailiwick of Guernsey based accountancy business?	N	Y	Y/N		
55	Does the company have audited accounts, or are its accounts independently examined or reviewed by an independent Bailiwick of Guernsey based accountancy business?	N	Y	Y/N		
56	Has the company used a Bailiwick of Guernsey based legal services business in the last year?	N	Y	Y/N		
57	Does the company use a Bailiwick of Guernsey based investment manager/professional adviser?	N	Y	Y/N		
58	Is the company directed and managed in the Bailiwick of Guernsey?	N	Y	Y/N		
<b>Declarations</b>						
59	Declaration	N	Y	Tick Box		